

**THORPE
NORTH &
WESTERN L.L.P.**
SINCE 1979
THE TEAM APPROACH TO PREMIER PERFORMANCE

INTELLECTUAL PROPERTY LAW

PATENTS, TRADEMARKS,
COPYRIGHTS, UNFAIR
COMPETITION AND
RELATED MATTERS



SALT LAKE AREA OFFICE
8180 SOUTH 700 EAST, SUITE 200
SANDY, UTAH 84070-0562
801.566.6633
801.566.0750 FAX
PATLAW@TNW.COM
WWW.TNW.COM

U.S. MAILING ADDRESS
P.O. Box 1219
SANDY, UTAH 84091-1219

LAS VEGAS AREA OFFICE
OF COUNSEL: NEIL J. BELLER †
7408 WEST SAHARA
LAS VEGAS, NEVADA 89117
702.368.7767
† ADMITTED IN NEVADA

VAUGHN W. NORTH*
M. WAYNE WESTERN*
CLIFTON W. THOMPSON*
GARRON M. HOBSON*
PETER M. DE JONGE*
WEILI CHENG, PH.D.*
DAVID R. MCKINNEY, PE.*
STEVE M. PERRY*
GARY P. OAKESON*
DAVID W. OSBORNE*

CALVIN E. THORPE
(1939-1999)

*REGISTERED PATENT ATTORNEYS

JASON R. JONES
TECHNICAL CONSULTANT

April 24, 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Re: Provisional Serial No. 60/249,380 Assigned to Non-Provisional Application
**"TRANSDERMAL DELIVERY SYSTEM FOR
ALKALOIDS OF ACONITUM SPECIES"**
Applicant: Weihong Xiong, et al.
Attorney Docket No. T8345.NP

Dear Sir:

Since November, we have made several attempts to correct a mistake made by the Patent Office. A provisional serial number was issued to a non-provisional patent application. For your information, we have enclosed copies of our transmittal documents indicating that the application was filed as a non-provisional and claiming priority to the provisional application number.

Since our original request for correction, we have received the Filing Receipt, copy enclosed; with a wrong filing date. The "**correct**" filing date is November 16, 2000. Please make the necessary corrections and send us a revised Filing Receipt. Thank you for your cooperation.

Very truly yours,

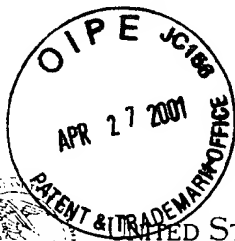
THORPE NORTH & WESTERN, L.L.P.

M. Wayne Western

M. Wayne Western
Attorney for Applicant
Registration No. 22,788

MWW/DWO/vm
Enclosure *Two*

H:\FILES\T8000\T8345\T8345NP\ptoltr.wpd



COPY

RECEIVED

Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/249,380	11/17/2000		75	T8345.NP			

CONFIRMATION NO. 4286

FILING RECEIPT



OC000000005928885

Thorpe, North & Western L.L.P.
P.O. Box 1219
Sandy, UT 84091-1219

Date Mailed: 04/03/2001

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Weihong Xiong, Salt Lake City, UT;
Dinesh Patel, Salt Lake City, UT;

If Required, Foreign Filing License Granted 04/02/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Transdermal delivery system for alkaloids of aconitum species

Data entry by : JONES, KIMBERLY

Team : OIPE

Date: 04/03/2001



APR 27 2001

PATENT APPLICATION
Docket No. T8345.NP**THORPE,
NORTH &
WESTERN LLP**
SINCE 1970
THE TEAM APPROACH TO PREMIER PERFORMANCEINTELLECTUAL PROPERTY LAW
PATENTS, TRADEMARKS,
COPYRIGHTS, UNFAIR
COMPETITION AND
RELATED MATTERSSALT LAKE AREA OFFICE
50 SOUTH 700 EAST, SUITE 200
SANDY, UTAH 84070-0562
801.566.6633
801.566.0750 FAX
PATLAW@TNW.COM
WWW.TNW.COMLAS VEGAS AREA OFFICE
OF COUNSEL: NEIL J. BELLER †
2345 REDROCK STREET, SUITE 310
LAS VEGAS, NEVADA 89146
702.368.7767
† ADMITTED IN NEVADAVAUGHN W. NORTH*
M. WAYNE WESTERN*
CLIFTON W. THOMPSON*
GARRON M. HOBSON*
PETER M. DE JONGE
WEILI CHENG, PH.D.*
DAVID R. MCKINNEY, PE.*
STEVE M. PERRY*
GARY P. OAKESON*
DAVID W. OSBORNE*
BRENT T. WINDER*CALVIN E. THORPE
(1939-1999)

*REGISTERED PATENT ATTORNEYS

November 16, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

COPY

Sir/Madam:

Transmitted herewith for filing is the patent application of WEIHONG XIONG and DINESH PATEL for TRANSDERMAL DELIVERY SYSTEM FOR ALKALOIDS OF ACONITUM SPECIES comprising 74 pages of specification and claims:

- X Priority to U.S. Provisional Application No.60/166,497 filed on November 19, 1999, in the United States Patent & Trademark Office is hereby claimed.

Enclosed also are:

- _____ sheet(s) of drawings (informal)
- X No fees are enclosed.
- X No Declaration and Petition, Power of Attorney or Assignment are enclosed.
- X Applicant claims small entity status under 37 C.F.R. §1.27
- X A Certificate of Mailing by "Express Mail" certifying a filing date of November 16, 2000, by use of Express Mail Label No. EL327005013US.

EL327005013US

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service, Express Mail, "Post Office to Addressee" under 37 C.F.R. §1.10 on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

Signature

Date

Vanessa Montague
Nov. 16, 2000

- ☐ Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed references attached (if indicated as being attached by the Information Disclosure Statement).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 20-0100.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 20-0100.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- ☒ Please send all future correspondence and direct all telephone calls to the attention of the undersigned.

Dated this 16th day of November, 2000.

Respectfully submitted,

M. Wayne Western

M. Wayne Western
Attorney for Applicant
Registration No. 22,788

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone: (801) 566-6633

MWW/vm
Enclosures